

MINUTES

Regular Meeting of the Santa Cruz Division
October 28, 2009

Meeting

A regular meeting of the Santa Cruz Division of the Academic Senate was held Wednesday, October 28, 2009 at the Stevenson Event Center. With Parliamentarian Michael Dine present, Chair Lori Kletzer called the meeting to order at 2:30 pm.

1. Approval of Draft Minutes (none)

2. Announcements

a. Chair Lori Kletzer

Chair Kletzer began by introducing Senate secretary Norma Klahn, Senate parliamentarian Michael Dine and Senate director Mary-Beth Harhen. Chair Kletzer then provided an update on the resolutions that were tabled at the October 18, 2009 Special Senate Meeting. The two tabled resolutions were taken up by the Senate Executive Committee (SEC). The tabled resolution, on residing salary reductions for new hires and faculty making below \$75,000 a year, was then directed to four Senate committees for review. The second tabled resolution, from UC Santa Barbara regarding President Yudof, was directed to a SEC subcommittee for analysis and comment. Chair Kletzer stated that once she receives updates she will share them with the Senate.

Chair Kletzer then reported that the Senate resolutions that passed at the October 18, 2009 Special Senate Meeting will go to a mail ballot. The mail ballot procedure will be conducted by the Senate secretary, under the supervision of the Committee on Rules, Jurisdiction and Elections (RJ&E).

Chair Kletzer closed her remarks by asking all Senators to move to the seats marked Senators, when the Senate proceeds to a vote.

b. Chancellor George Blumenthal

Chancellor Blumenthal began by welcoming everyone to the new academic year, and then acknowledged the debates about decisions made in at the state level, the office of the president (OP) and on the campus. He stated that while occasionally the parties involved passionately disagree, everyone still cherishes and practices the principle of academic freedom. The University of California (UC) is a public research university with a mission to pursue knowledge, to provide access and transform students.

Chancellor Blumenthal said that during these challenging times there are many reasons to be proud. Last Friday the campus celebrated Founder's Day where outstanding alumnus, Faculty Research Lecturer Dan Friedman, Pat and Rowland Rebel and UCSC Foundation medalist Ed Catmull were honored.

Chancellor Blumenthal then informed the Senate that to date the campus has received nearly \$17 million in stimulus funds, including more than \$2 million to the Social Sciences Division. In August the *Chronicle of Higher Education* ranked UCSC as the

fourth biggest gainer in federal funds for academic research and development from 2000 to 2007. Chancellor Blumenthal acknowledged Anthropologist Nate Dominy who was named one of this year's "Brilliant 10" young researchers by *Popular Science* magazine. Also, five young professors won early career awards from the National Science Foundation.

The chancellor said there is an outstanding new class of frosh and transfers. The frosh class is smaller than last year and more diverse. 37 percent will be the first in their families to graduate from a four year university. The higher grades and test scores of the frosh class are consistent with UCSC's increasing selectivity. Issues of access and affordability are paramount; students feel the effects of fee increases and fewer classes.

Next Chancellor Blumenthal provided an update on the UC Commission for the Future (UC Commission) which is co-chaired by President Yudof and Regents chair, Russell Gould. Representatives from four of the five working groups of the UC Commission will visit campus on Thursday, October 29, 2009 to gather ideas from the faculty. The UC Commission is charged with developing a new vision for the university within the context of the university's mission and budget. There are five working groups: Size and Shape of UC, Education and Curriculum, Access and Affordability, Funding Strategies and Research Strategies. Chancellor Blumenthal is the co-chair of the Size and Shape work group. Issues the working group is considering include:

- The right size and shape of the university going forward?
- The mix of students needed to meet the needs of the state?
- How UC and its partner segments interface with the Master Plan.
- The relationships of the campuses to each other and to OP.

The chancellor said it is essential that UCSC's voice be a key part of the discussion which is why he agreed to co-chair the working group.

Chancellor Blumenthal then brought up how the campus works in the current budget climate. He stated that the administration is fully committed to open, serious, transparent consultation with the Senate. The campus will face some difficult choices, and it is essential that the Senate and administration continue to work together to chart the best path forward. The chancellor believes the decisions the campus ultimately reaches will be sound ones if the Senate and administration continue to talk directly about both campus goals and priorities and fiscal constraints. Cuts to state funding are real, and even with painful increases in student fees the funding the campus has available to teach each student continues to fall. The chancellor said there will be real cuts to programs. The administration cannot guarantee that every program, every initiative, and every department, will continue to be funded at the same level as in the past. He also said that both EVC Kliger and the Senate have insisted that budget cuts should not be across the board. That decision has made the job of both the EVC and the Senate much harder, because the campus must make real choices about which programs must be protected, and which will receive deeper cuts. Chancellor Blumenthal thinks this is exactly the right

strategy if the campus is to protect the things it cares most deeply about and be able to invest in the right opportunities.

The chancellor used Silicon Valley (SV) as an example of an opportunity. He said the campus has gained a great deal of traction in SV. Several academic divisions have research collaborations with companies and with NASA Ames. The Baskin School of Engineering (BSOE) has significant and growing opportunities for students at the NASA site. The Arts Division is reaching out for support and collaborations in SV. The close linkage of UCSC with this center of innovation, technology and entrepreneurship has great potential for the campus.

Chancellor Blumenthal stated that an idea to create a School of Management in SV has generated a great deal of interest by CEOs in the SV and allowed the campus to build relationships that benefit the entire campus. The chancellor went on to say that UCSC will only establish a School of Management if it is both academically of UC quality and financially sound enough not to compete with general campus interests.

Finally, the chancellor informed the Senate that because of the importance of campus connections to SV, the Winter Scholarship Benefits Dinner has been moved from Santa Cruz to SV. The chancellor also said that private giving remains at over \$30 million for the second year in a row and the quiet organizational phase of UCSC's Comprehensive Campaign has begun.

Chancellor Blumenthal closed by saying that the EVC, deans and other principal officers are engaged in a collaborative effort with the Senate, working to re-envision how UCSC can best continue to meet its historic commitments as public university to access and excellence for the students of California, despite the state's economic distress.

c. Campus Provost/Executive Vice Chancellor Klinger

EVC Klinger began by stating that the campus is in an unprecedented time, a crisis point. The campus has an obligation to continue progress during these difficult times, to focus on the future and not on the past. The EVC said last year the Senate-administration collaboration did not go as smoothly as it should have. The EVC is committed to a more collaborative effort this year in working with the Senate.

With regard to the budget, the EVC is exploring what questions need to be asked including:

- How principal officers will take a range of cuts.
- The criteria for decisions.
- Communication and feedback strategies.

The EVC reported on a budget retreat for principal officers, where Senate, student and staff representatives met over the summer. At the retreat a number of work groups were formed. The goal of the working groups was to provide questions to units to guide their decisions as the campus moves through the budgeting process.

EVC Kliger then reported on the salary reduction and furlough program that was implemented on September 1, 2009. The program, he said, is extremely challenging and complex. Across campus managers and supervisors have been faced with difficult decisions and the loss of productivity.

EVC Kliger closed his remarks by reminding the Senate of the 20th anniversary of the Loma Prieta earthquake. The earthquake led to a loss of life and financial distress, but brought people together. It took a long time for the community to recover but it did. EVC Kliger hopes the same will happen with the current budget crisis. EVC Kliger would like to come out of the crisis with strength and pride.

Following the chancellor's and EVC's remarks Chair Kletzer opened the floor to questions.

Professor Karen Bassi (Literature) expressed concern about staff furloughs. Professor Bassi informed the chancellor about a new entertainment policy training staff are required to attend. The training lasts seven hours and Professor Bassi questioned if this was the best use of staff time. EVC Kliger responded that he did not know about that process specifically, but that he has asked every unit to think about what is essential and what is not, and ways to streamline processes.

Professor Gail Hershatter (History) asked for more information on the UC Commission and what the EVC meant when he referred to last year's consultation with the Senate. Chancellor Blumenthal said representatives from all five of the UC Commission working groups will be on campus. He is hoping that there will be productive interaction. The membership of the work groups is controversial, and he believes faculty should be the main voice. EVC Kliger stated that when the budget process began last year principal officers were asked how they would take cuts of certain sizes, and were told the information would remain confidential. Because there was a lot of concern about budget decisions affecting individuals, confidentiality was deemed necessary and impeded getting information to the Senate in a timely manner.

Professor Tim Duane (Environmental Studies) commented on the effects of decentralizing decisions by referring to the budget crisis of the mid-1990's when UC had a program that allowed faculty to retire early, in essence decentralizing decisions to the level of the individual which resulted in a random loss of faculty. Individual departments were harmed by this decision made by OP, and it took years to hire faculty back and recover. This campus has already lost faculty due to the budget cuts. Learning from the past, we have to look at the strategic implications of decentralizing decisions. The EVC said this was a major point of discussion during the summer budget retreat. He has asked the deans to think about academic plans in terms of what is really feasible with the current budget and come up with new five year plans. The EVC said the campus needs to look at the implications of cuts from a campus wide perspective.

Professor Chris Connery (Literature) asked about the School of Management and CPB's 2008-09 recommendation that the school not move forward. The chancellor said the

school will not move forward unless there is a sensible financial plan. The Senate has veto authority and nothing will move forward without agreement. The nascent school has though; put the campus in touch with contacts in SV. The chancellor said the companion benefits are worthwhile.

Professor Don Rothman (Writing) asked if the public has been invited into the conversations with the UC Commission. Chancellor Blumenthal responded that the UC Commission includes a number of people from outside the university. When conceptualizing his own working group, Chancellor Blumenthal chose two outsiders who will bring a different perspective. Also, OP is setting up a web site that allows public comment.

3. Report of the Representative to the Assembly (none)

4. Special Orders: Annual Reports

Chair Kletzer introduced the consent calendar, explaining that anyone wishing to pull a report from the consent calendar for discussion might do so.

The items on the consent calendar were received without comment.

5. Reports of Special Committees (none)

6. Reports of Standing Committees

a. Committee on Committees (AS/SCP/1627)

i. Additional Nominations

The additional nominations were received without comment.

b. Committee on Educational Policy

i. Update Report on General Education

Committee on Educational Policy (CEP) Chair John Tamkun reminded the Senate that last spring changes to the general education (GE) requirements were approved. The changes will become effective in fall 2010, but the old requirements will not disappear immediately. There will be a transitional period of about three years.

CEP Chair Tamkun then provided the following update on the GE reform timeline:

- Summer 2009 – Development of revised course approval forms
- Fall 2009 – Consultation with deans and department chairs (ongoing). Solicited feedback from departments on course guidelines (due October 19). Finalize GE courses guidelines (early November). Proposals for DC and GE courses are due December 1.
- Winter 2010 – Begin review of course proposals. Begin capacity analysis. Ongoing consultation with faculty and the administration.
- Spring 2010 – Continue review of course proposals. Review revised course descriptions and programs statements for the general catalog.

CEP Chair Tamkun informed the Senate more information can be found on CEP's website at <http://senate.ucsc.edu/cep/GenEdDeptGuideindex.html>

7. Report of Student Union Assembly Chair

Matthew Palm, Commissioner of Academic Affairs (CAA) for the Student Union Assembly (SUA) provided an update on current SUA activities. He began by addressing the issue of academic integrity. CAA Palm stated that the numbers shared by the Vice Provost for Undergraduate Education (VPDUE) show academic integrity to be an increasing problem. The SUA has put up a number of fliers in classrooms and across campus, attempting to bring light to the issue in a friendly way.

CAA Palm informed the Senate that over the summer the SUA hosted the UC SUA congress. The top issues discussed included lobbying to save Cal Grants and lobbying to pass the Student Aid and Fiscal Responsibility Act (SAFRA). SAFRA enables the federal government to make loans directly to students, eliminating the need for a third party.

CAA Palm said two members of the SUA are serving on system-wide committees as representatives to the Board of Admissions and Relations with School (BOARS) and UC Committee on Educational Policy.

Next CAA Palm said that the Student Committee on Committees has re-written their constitution to encourage accountability and feedback regarding students serving on committees. The SUA also worked with the Education Department on TA diversity training. The SUA is working with departments on implementing sustainability on campus. CAA Palm thanked Student Affairs for modeling ways to solicit feedback. He thanked the EVC for inviting students to the budget retreat and also thanked the library. He then thanked Dean Kamieniecki and Dean Yeager for conferring with students over budget decisions.

CAA Palm closed by saying that this is a year of over communication for the SUA. SUA is hoping to assert the student voice in a productive and meaningful way.

8. Report of the Graduate Student Association President (none)

9. Petitions of Students (none)

10. Unfinished Business

a. Resolutions C and D from October 19, 2009 Special Senate Meeting (AS/SCP/1612)

There was a motion and a second to open Resolutions C and D for discussion.

A discussion followed about Resolution C where a friendly amendment was accepted. The Senate voted to refer the motion to the Committee on Planning and Budget to revise, sending it afterward to RJ&E to review. The Senate asked that the revised resolution be brought back to the Senate by the next Senate meeting.

The motion to refer Resolution C to CPB passed by hand vote.

To the President re: Fiscal Transparency: Whereas the current fiscal crisis facing the UC system is having and will continue to have profound effects on all research and teaching functions in which faculty are engaged; and whereas faculty are therefore duty bound to fully understand the nature of the crisis and to analyze the full range of possible strategies for coping with the fiscal crisis; we the members of the Academic Senate of UCSC therefore request from UCOP complete budgetary transparency, including full details of all budget categories, definitions of the nature of any legal restrictions on how these categories of funds can be expended, and detailed alternative scenarios for addressing the fiscal crisis.

Spoke in favor of the Resolution	Spoke against the Resolution	Comments about the Resolution
Craig Reinerman	Barry Bowman	John Jordan
Brent Haddad		Loisa Nygaard
		Diane Gifford-Gonzalez

Points made in favor of Resolution C:

- Many people are trying to understand the OP process and have no idea if OP listened to any of the feedback they received.
- We have no clue about the categories in the budget and what alternative scenarios were considered. We want to see the basis for decisions.
- A resolution like this would be helpful at UC Committee on Planning and Budget (UCPB) meetings. This will show UCPB that the faculty at UCSC wants details.

Points made against Resolution C:

- I do not get the point. This resolution falls into a basic feeling that these resolutions are a way to vent frustration and fight amongst ourselves.
- Who is this resolution going to? What is being requested? Who will analyze the data?

Comments about Resolution C:

- This resolution would be more effective if it was proposed to the president.
- Can we have a specific date to return this to the Senate?
- Can CPB consult with RJ&E to ensure the wording is correct?

Next the Senate discussed Resolution D.

A discussion followed about Resolution D where a friendly amendment was accepted. The Senate voted on Resolution D.

Resolution D passed by hand vote.

Sense of the Senate re: Good-Faith Negotiations: Whereas the UCSC faculty are formally represented by the Santa Cruz Faculty Association as their bargaining agent: therefore be it resolved that it is the sense of the UCSC Academic Senate that the UCSC administration and Association should continue to bargain in good faith with the Association on furlough policy options, possible strategies for coping with the fiscal crisis, and all other issues that may properly fall within the scope of collective bargaining.

Spoke in favor of the Resolution	Spoke against the Resolution	Comments about the Resolution
Dana Frank	Loisa Nygaard	Steve Thorsett
Chris Connery		John Jordan

The following points were made in favor of Resolution D:

- Although there is a legal obligation to bargain in good faith, it is a constant issue in a negotiation process where an administration goes to consultants who tell them to drag their feet.
- This is to affirm the comment that some of the decisions in this fiscal crisis are bargainable.

The following points were made against Resolution D:

- I support the Faculty Association's efforts, but do not know if we are weakening our position by passing a resolution about something we are legally bound to do.

Comments about Resolution D;

- This body has an unusual relationship to both sides. Both sides are voting Senate members. This resolution would be stronger if it were symmetrical with legal and ethical obligations.
- We should say that the administration and association will continue to bargain in good faith, and not just the administration.

Professor Shelly Errington, Anthropology, then formally invited the chancellor, EVC and UC Commission to a forum on the budget crisis.

11. University and Faculty Welfare (none)

12. New Business

Professor Chris Connery, Literature, introduced a resolution from the floor. A motion and a second were made to open the resolution for discussion.

Following a discussion where a friendly amendment was accepted, the Senate voted on the resolution.

The resolutions passed by voice vote.

Whereas UC fee increases compromise the public mission of the University, be it resolved that the Senate opposes any further fee increase and urges the UCSC Administration and UCOP to advocate accordingly to the UC Regents.

Spoke in favor of the Resolution	Spoke against the Resolution	Comments about the Resolution
Bettina Aptheker	Brent Haddad	Rebecca Braslau
Chris Connery		Marc Mangel
Shelley Errington		Loisa Nygaard
Gail Hershatter		Matthew Palm
Robert Singleton		
Christine Hong		
Helene Moglen		
Bill Ladusaw		

Points made in favor of the resolution:

- 57.4 percent of the state of California are people of color and they are totally underrepresented.
- Those of us who put this together are actually hoping for less student fees.
- The problem with imagining that increases in tuition will help our academic programs is based on the fallacy that the money will go to academic programs.
- We are at a particular moment in time, which is that of the upcoming Regents meeting, where we have to say something. We have a very narrow window of opportunity.
- As a student who is dependent on Cal Grants, I strongly urge you to support this and send a message to OP.
- This is ethical and urgent, we must respond. Students cannot afford course materials.
- There is nothing more important than access.
- It is critical for the Senate voice to be heard.
- Students would have to work months at a minimum wage job to afford the fees. In the past, a summer job would have covered fees.

Points made against the resolution:

- A resolution like this means the funding will have to come from someplace else. We could end up eroding what we are trying to protect. We need more information before we consider this resolution.

Comments about the resolution:

- I understand there will have to be some fees increases, so I am uncomfortable with the word “any.”
- We need to be careful about how we word this. UC does not have tuition for in-state students.

- Perhaps we should say something about the percentage of fee increases we have seen in the past year.
- Fees are up over 100 percent since 2001.

Professor Chris Connery, Literature, proposed a resolution from the floor.

Following a discussion, where a friendly amendment was accepted, the Senate voted on the motion. The resolution passed by voice vote.

Be it resolved that the UCSC Senate opposes reductions in pay for workers whose full time salary is \$40,000 or less.

Spoke in favor of the Resolution	Spoke against the Resolution	Comments about the Resolution
Dana Frank	Barry Bowman	John Jordan
Megan Thomas	Elizabeth Abrams	Olof Einarsdottir
Gina Langhout		Brent Haddad
Danilyn Rutherford		Donna Hunter
Diane Gifford-Gonzalez		Eileen Zurbriggen
		Mayanthi Fernando

Points made in favor of the Resolution:

- I support this resolution. We need to put pressure on the administration.
- We are talking about a symbolic intervention.
- Who can be against this?
- Waiting to move on this is unethical.
- It is morally imperative for this group to make this kind of statement.
- Cuts to staff making under \$40,000 are unconscionable.

Points made against this Resolution:

- We need more information on how much this will cost. We will lose something else if we pass this.
- I am worried about unintended consequences. There are staff who have a base of above \$40,000 but are actually making less because they are part time.

Comments about the Resolution:

- CPB has asked about the cumulative impact of salary cuts for every salary tier.
- We should refer this to committee, so we can analyze how it will affect other programs.
- We should also be clear in saying that these reductions will not result in a loss of employment.

Adjournment: 5:15 p.m.

ATTEST:

Norma Klahn, Secretary

January 29, 2010